

REPORT FOR THE SKD

INSTRUCTION FOR FORCED TRANSFER IN THE SKD

Instruction No.

to be completed by operator

Instruction type: Forced Transfer

Transferor⁽¹⁾:

Asset account No.:

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Code and abbreviated name of client/custodian:

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Code and abbreviated name of agent:

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Transferee⁽¹⁾:

Asset account No.:

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Code and abbreviated name of client/custodian:

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Code and abbreviated name of agent:

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Issue⁽²⁾:

Code, ISIN, and title:

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State of securities:

- Pledged to pledgee's account
- Pledged to new pledgor's account
- Free⁽³⁾
- Blocked

Number of instruction to register the pledge (**financial collateral**)⁽⁴⁾:

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Number of instruction to register the pledge (**as per the act on securities and the civil code**)⁽⁵⁾:

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Settlement:

No. of securities:

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In date

Agent⁽⁶⁾

Explanatory notes to instruction type INS108 “FORCED TRANSFER”:

The boldly framed white boxes are obligatory, unless specified otherwise in other notes. The thinly framed grey boxes are for information purposes only. No account will be taken of the values contained therein when entering instructions in the SKD.

- (1) The abbreviated names of the transferor and the transferee and their agents are stated in the form specified in the contract on participation in the SKD or in the contract on an asset account in the SKD.
- (2) The sender fills in **only one of the boxes**, either “Issue Code” or “Issue ISIN”. The title of the security is specified according to the data in the SKD records.
- (3) In case of a financial collateral arranged as per the commercial code, only the number of transferred "free securities" is filled in, it is not possible to fill in the number of securities "pledged in the account of the pledgee" or the number of securities "pledged in the account of the new pledgor".
- (4) To be filled in, in case of a forced transfer, within the framework of procedures of financial collateral arranged as per the commercial code, namely by the number of instruction of the original pledge.
- (5) To be filled in, in case of a forced transfer, in the realization of a pledge arranged as per the pledge contract as per the act on securities and the civil code, namely by the number of instruction of the pledge.
- (6) The instruction must be signed by the agent’s authorized employee whose specimen signature is at the disposal of the operator.